

Annex II

S.01.02 - Basic information

General comments:

This Annex contains additional instructions in relation to the templates included in Annex III of this Guideline. The first column of the next table identifies the items to be reported by identifying the columns and lines as showed in the template in Annex III.

This annex relates to opening, quarterly and annual submission of information for third countries branches.

NOTE: blue font is used to identify the PEPP Prudential requirements

	ITEM	INSTRUCTIONS
Z0010	PEPP registration number	Registration number for the PEPP, which is attributed by the EIOPA.
C0010/R0010	Name of Third Country Undertaking	Legal name of the Third Country Undertaking. Needs to be consistent over different submissions.
C0010/R0020	Country of Third Country Undertaking	Identify the ISO 3166 code of the country where the third country undertaking was authorised
C0010/R0030	Name of Third Country branch	Legal name of the Third Country branch. Needs to be consistent over different submissions.
C0010/R0040	Country of Third Country branch	Identify the ISO 3166 code of the country where the third country branch was authorised
C0010/R0050	Identification code of the third country branch	Identification code of the branch, using the following priority: - Legal Entity Identifier (LEI) - Identification code used in the local market, attributed by supervisory authority
C0010/R0060	Type of code of the third country branch	Type of ID Code used for the "Identification code of the third country branch" item. One of the options in the following closed list shall be used: 1 - LEI 2 - Specific code
C0010/R0070	Language of reporting	Identify the 2 letter code of ISO 639-1 code of the language used in the submission of information
C0010/R0080	Reporting submission date	Identify the ISO 8601 (yyyy-mm-dd) code of the date when the report to the supervisory authority is made
C0010/R0900	Reporting reference date	Identify the ISO 8601 (yyyy-mm-dd) code of the date identifying the last day of the reporting period
C0010/R0100	Regular/Ad-hoc submission	Identify if the submission of information relates to regular submission of information or a d-hoc. The following closed list of options shall be used: 1 - Regular reporting 2 - Ad-hoc reporting
C0010/R0110	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report

C0010/R0120	Accounting standards	Identification of the accounting standards used for reporting items in S.02.01, financial statements valuation. The following closed list of options shall be used: 1 - The undertaking is using IFRS 2 - The undertaking is using local GAAP (other than IFRS)
C0010/R0130	Method of Calculation of the SCR	Identify the method used to calculate the SCR. The following closed list of options shall be used: 1 – Standard formula 2 - Partial internal model 3 - Full internal model
C0010/R0140	Use of undertaking specific parameters	Identify if the branch is reporting figures using undertaking specific parameters. The following closed list of options shall be used: 1 - Use of undertaking specific parameters 2 - Don't use undertaking specific parameters
C0010/R0150	Ring-Fenced Funds	Identify if the branch is reporting activity by Ring Fenced Funds (RFF). The following closed list of options shall be used: 1 - Reporting activity by RFF 2 - Not reporting activity by RFF
C0010/R0170	Matching adjustment	Identify if the branch is reporting figures using the matching adjustment. The following closed list of options shall be used: 1- Use of matching adjustment 2 - No use of matching adjustment
C0010/R0180	Volatility adjustment	Identify if the branch is reporting figures using the volatility adjustment. The following closed list of options shall be used: 1- Use of volatility adjustment 2 - No use of volatility adjustment
C0010/R0190	Transitional measure on the risk-free interest rate	Identify if the branch is reporting figures using the transitional measure on the risk-free interest rate. The following closed list of options shall be used: 1 - Use of transitional measure on the risk-free interest rate 2 - No use of transitional measure on the risk-free interest rate
C0010/R0200	Transitional measure on technical provisions	Identify if the branch is reporting figures using the transitional measure on technical provisions. The following closed list of options shall be used: 1 - Use of transitional measure on technical provisions 2 - No use of transitional measure on technical provisions
C0010/R0210	Initial submission or re-submission	Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used: 1 – Initial submission 2 – Re-submission
C0010/R0220	Type of branch	Identify the type of the reporting branch. The following closed list of options shall be used to identify the activity of the branch: 1 - Branches pursuing both life and non-life insurance activity 2 - Life branch 3 - Non-Life branch
C0010/R0230	Article 167	Identify if article 167 is applicable. The following closed list of options shall be used: 1 – Article 167 is applied 2 – Article 167 is not applied

C0010/R0240	Name of the branch included in article 167	Legal name of the Third Country branch covered by article 167
C0010/R0240	Country of the branch included in article 167	Identify the ISO 3166 code of the countries of each third country branch covered by article 167
C0010/R0270	PEPP reporting	One of the options in the following closed list shall be used: 1 - Reported 2 - Not reported due to submission in dedicated report 0 - Not reported as no PEPP
C0010/R1000	PEPP name	Commercial name of PEPP (undertaking-specific).
C0010/R1010	PEPP still commercialised?	Specify if product is still for sale or if it is just in run-off. The following closed list shall be used: 1 - Still commercialised 2 - In run-off
C0010/R1020	Use of common pool of assets for all investments options	Specify if the common pool of assets for all investment options is used. The following closed list shall be used: 1 - Yes 2 - No